#### Attorney Docket No: VISN-007/03US



**PATENT** 

Applicant or Patentee: Lester F. Ludwig

Serial No.:

09/471,577

Patent No.:

Filed:

December 23, 1999

Issued:

For:

MULTIMEDIA SERVICES USING CENTRAL OFFICE

## VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS 37 CFR SECTIONS 1.9(f) & 1.27(c) - SMALL BUSINESS CONCERN

I hereby declare that I am:

[] the owner of the small business concern identified below:

[X] an official of the small business concern empowered to act on behalf of the concern identified below:

Name of Small Business Concern: VCT, Inc.

Address of Small Business Concern: 913 Tahoe Boulevard

Incline Village, NV 89451

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under 35, United States Code 41(a) and (b), in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention identified above and described in

|    | the specification filed herewith   |
|----|------------------------------------|
| [X | ] the application identified above |
| Γ  | the patent identified above.       |

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would

not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

\*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

| Name:<br>Address:   |   |
|---|---|
| [ ] Individual [ ] Small Business Concern   | [ ] Nonprofit Organization  |
| status resulting in loss of entitlement to sma  | cation or patent, notification of any change in all entity status prior to paying, or at the time maintenance fee due after the date on which ate. (37 CFR 1.28(b))   |
| that all statements made on information and<br>these statements were made with the knowle<br>so made are punishable by fine or imprison<br>of the United States Code, and that such | be herein of my own knowledge are true and belief are believed to be true; and further that edge that willful false statements and the like ment, or both, under Section 1001 of Title 18 willful false statements may jeopardize the g thereon, or any patent to which this verified |
| Signature   | The III   |
| Date  | 3/28/00   |
| Name of Person Signing  | Lester Liding   |
| Title of person other than owner  | Vice President  |
| Address of person signing   | 1230 Southday R), Hillsborough CA 94010   |

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As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

| _             | I believe I am the original, first and sole inventor (if only one name is listed below) all, first and joint inventor (if plural names are listed below) of the subject matter aimed and for which a patent is sought on the invention entitled:  |
|---------------|---|
|               | MULTIMEDIA SERVICES USING CENTRAL OFFICE  |
| the specific  | ation of which:   |
|               | [X] is attached hereto.   |
| 007/03US      | [X] was filed on December 23, 1999 and identified as Attorney Docket No. VISN-  |
|               | [X] was filed on December 23, 1999 as Application Serial No. 09/471,577.  |
| and           |   |
|               | [] the amendment(s) of which were filed on .  |
| Identified s  | I hereby state that I have reviewed and understand the contents of the above-<br>pecification, including the claims, as amended by any amendment referred to above.   |
| of this appl  | I acknowledge the duty to disclose information which is material to the examination ication in accordance with Title 37, Code of Federal Regulations, Section 1.56.   |
| identified b  | I hereby claim foreign priority benefits under title 35, United States Code, Section foreign application(s) for patent or inventor's certificate listed below and have also elow any foreign application for patent or inventor's certificate having a filing date of the application on which priority is claimed: |
| Prior Foreig  | gn Application(s) (Country) (Number) (Day/Month/Year Filed)  Priority Claimed (Yes/No)  |
|               | reby claim the benefit under Title 35, United States Code, § 119(e) of any United isional application(s) listed below.  |
| (Applicatio   | n Number) (Filing Date)   |
| 504514 - 1704 |   |



I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

| Appl. Ser. No. | Filing Date       | Status (Pat'd./Pend./Aband.) |
|----------------|-------------------|------------------------------|
| 08/842,745     | April 16, 1997    | Pending                      |
| 08/367,976     | December 30, 1994 | Issued as Patent 5,751,338   |

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States

Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Lester F. LUDWIG

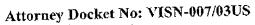
Inventor's signature

Residence: Hillsborough, California

United States of America Citizen of:

1230 Southdown Post Office Address:

Hillsborough, California 94010





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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on APRIL 7, 2000.

Elaine Calimquim

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Lester F. Ludwig

Serial No.:

09/471,577

Examiner:

Filed:

December 23, 1999

Art Unit:

For:

MULTIMEDIA SERVICES USING CENTRAL OFFICE

Assistant Commissioner for Patents Washington, D.C. 20231

## POWER OF ATTORNEY BY ASSIGNEE AND EXCLUSION OF INVENTORS UNDER 37 CFR 1.36 AND 3.71

The undersigned assignee of the entire interest in the above-identified subject application hereby appoints:

all of the firm of Cooley Godward LLP, as its attorneys to prosecute this application and to transact all business in the Patent Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of Rule 36 of the Patent Office Rules of Practice.

I hereby appoint:

| Alexandra J. Baran<br>Shelley P. Eberle<br>Thomas L. Ewing<br>Karen E. Flick<br>Richard M. Goldman | 39,101<br>31,411<br>34,328<br>44,111<br>25,585 | Craig P. Opperman Jeffrey A. Pade Marya A. Postner Michelle S. Rhyu Bryan D. Richardson Howard R. Richman | 37,078<br>42,970<br>42,085<br>41,268<br>43,043<br>41,451 |
|--|--|---|--|
|--|--|---|--|

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| Madison C. Jellins | 35,555 | Randy V. Sabett     | 43,074 |
|--------------------|--------|---------------------|--------|
| Duane S. Kobayashi | 41,122 | Gurjeev K. Sachdeva | 37,434 |
| Marcella Lillis    | 36,583 | C. Scott Talbot     | 34,262 |
| Thomas W. Lynch    | 42,820 | Rick A. Toering     | 43,195 |
| Tom M. Moran       | 26,314 | Brenda J. Wallach   | 45,193 |
| Richard L. Neeley  | 30,092 | Michael A. Wrenn    | 42,237 |
| David J. O'Neill   | 42,953 | Peter J. Yim        | 44,417 |
|                    |        | Kevin J. Zimmer     | 36,977 |

### Please direct all correspondence to:

Cooley Godward LLP Attention: Patent Group Five Palo Alto Square 3000 El Camino Real Palo Alto, CA 94306-2155 Tel: 650-843-5000

VCT, Inc. Assignee: Signature: Typed Name: William L. Campbell Title: Address: 913 Tahoe Blvd., Ste. 7 Incline Village, NV 89451

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